

Account No.
 Account No.

Branch
 Branch

Currency
 Currency

ID Form

Reserved to the Bank

☐ New ☐ Update

To be filled by Banco Finantia | NUM

The **Ultimate Beneficial Owner Annex (Annex UBO)** must be fill in after **Annex(es) Representative** filling(s) are completed, when whenever concluded that there is/are still not identified any natural person(s) with Ultimate Beneficial Owner [UBO] qualification. This annex is also adequate to update any UBO identification

Before identifying and communicating to Banco Finantia S.A. personal data relating to the natural person identified in this form, the Client must ensure that this person is aware of the identity of Banco Finantia S.A., and its contacts, and that their personal data contained in this form will be transmitted to Banco Finantia S.A., which will process it under the terms and on the grounds referred to in clause 21 of Part A of the General Conditions for **Deposit Account Opening Contract**.

 Full name

Date of birth Place of birth Nationality Other(s) nationalities

Identification document [type] ☐ Citizen Card ☐ ID Card ☐ Passport ☐ Other

Identification document number Issued by Valid thru Tax number

Residence and tax address Locality Postal code Country

Tax address (if different from home address) Locality Postal code Country

No Yes

1. Is the UBO a member of the Client's management body or board of directors? ☐ ☐ with the role of

2. Is the UBO a shareholder with a holding or voting rights equal or greater than 5%? ☐ ☐ with % of share capital or/and voting rights

if "No" or in case of holding a stake of less than 25%, specify how this person must be considered as ultimate beneficial owner

3. Is the UBO (or has been for the last 12 months) a Politically Exposed Person (PEP) or Relative Close Associate (RCA) or holds any other relevant political or public office? ☐ ☐ if yes, please ask for and fill in **Annex PEP**

5. Is the UBO a US Person? ☐ ☐ if yes, please render the applicable **US Form**

For the case you don't have a EU Member Estate nationality, please answer:

6. Do you have, or are you applying for, a Portuguese Golden Visa? ☐ No ☐ Yes

Activity Description

☐ Employed as		by [employer]	
☐ Self-employed as		in the area of	
☐ No activity	☐ Student ☐ Unemployed, and last occupation was ☐ Retired, and last occupation was ☐ No activity		

Provides a simple copy of the identity document of the Ultimate Beneficial Owner.

Signature of the Client who identified the Ultimate Beneficial Owner

Please fill in as many copies of the **Annex UBO** as necessary to complete the identification of all Ultimate Beneficial Owners. If in addition to the person(s) identified in the **Annex(es) Representative** and in this/these **Annex(es) UBO**, the identification details of a person/entity with the legal qualification of i) holder of a shareholding and/or voting rights $\geq 5\%$ or ii) member of the management body or board of directors have yet to be identified or updated, please proceed to the **Share Capital Annex**.